

IPW



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/664,217
 Applicant : Stanley P. Sakowski
 Filed : September 17, 2003
 Title : Compact Multiple Pocket Processing System
 Conf. No. : 3356
 TC/A.U. : 3653
 Examiner : Jeffery A. Shapiro
 Docket No. : 47171-00405USPT

**THIRD INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§1.97 and 1.98**

Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

**CERTIFICATE OF MAILING
37 C.F.R. 1.8**

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below:

11/10/04 *Marcia D. Barron*
 Date Signature

Dear Commissioner:

In compliance with the duty of disclosure under 37 C.F.R. §1.56, it is respectfully requested that this Information Disclosure Statement be entered and the reference(s) listed on attached Form 1449 be considered by the Examiner and made of record.

In accordance with 37 C.F.R. § 1.98(d), any necessary copies are enclosed. However, in accordance with the August 5, 2003 OG Notice, copies of U.S. patents and U.S. published application references are not required for applications filed after June 30, 2003 and, thus, are not enclosed. Copies of all other documents, namely references B1-B6 and C1-C11, are enclosed.

References A4, B2-B3, B5-B6, and C1-C6 were cited during an opposition to Cummins European Patent EP 0 731 954 B. The relevancy of the non-English references C5 and C6 is that they were cited in that Opposition, reference C1.

In accordance with 37 C.F.R. §§ 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be

Customer Number 41,230

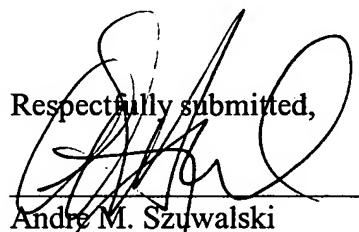
an admission that the information disclosed is, or is considered to be, prior art with respect to the present application or material to patentability as defined in 37 C.F.R. §§ 1.56.

The present Information Disclosure statement is being filed prior to receipt of a first Office Action reflecting an examination on the merits and, hence, is believed to be timely in accordance with 37 C.F.R. § 1.97(b). Accordingly, no fees are believed to be due in connection with the filing of this Information Disclosure Statement. However, should any fees be deemed necessary (except payment of the issue fee), the Commissioner is authorized to charge any deficiency or to credit any over payment to Jenkens & Gilchrist Account No. 10-0447/47171-00405USPT-(03940).

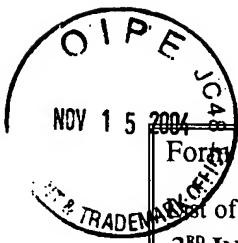
Date

11/9/04

Respectfully submitted,


Andre M. Szuwalski

Reg. No. 35,701
Jenkens & Gilchrist, P.C.
225 West Washington Street,
Suite 2600
Chicago, IL 60606-3418
(312) 425-3900
Attorneys for Applicants



For PTO-1449 (modified)
U.S. Patent and Publications for Applicant's
3RD INFORMATION DISCLOSURE STATEMENT

Page 1 of 2

Atty. Docket No. 47171-00405USPT	Serial No. 10/664217-Conf. #3356
Applicant Stanley P. Sakowski	
Filing Date: September 17, 2003	Group: 3653

U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date if App.
	A1	4,787,518	11-29-1988	Yuge et al.	209	5342	
	A2	5,051,900	09-24-1991	Ito et al.	364	408	
	A3	5,230,653	07-27-1993	Shinozaki et al.	453	271	
	A4	4,249,552	2-10-1981	Margolin et al.	133	194	

Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	B1	DE 27 60269 C2	01-11-1979	Germany			Yes
	B2	EP 0 168 202 A1	01-16-1986	Europe			Not Applicable
	B3	EP 0 613 107 A1	08-31-1994	Europe			Not Applicable
	B4	GB 2 088 832 A	06-16-1982	United Kingdom			Not Applicable
	B5	JP 56 136689	10-26-1981	Japan			Yes
	B6	WO 93/23824	11-23-1993	PCT			Not Applicable

Other Documents (Including Author, Title, Date, Etc.)

Exam. Init.	Ref. Des.	Citation
	C1	De La Rue Notice of Opposition to EP 0731954B, 13 pages (English) (10/22/03)
	C2	De La Rue TCD 9210 – Autodeposit User Manual, 7 pages (English) (12/20/93)
	C3	Declaration of John A. Skinner, 1 page (English) (10/20/03)
	C4	Declaration of Volker Glaser, 1 page (English) (10/20/03))
	C5	De La Rue Cashmaster-Deposit 3D/UV Invoice, 1 page (German) (3/25/94) – Opposition ref. 5a
	C6	De La Rue Cashmaster-Deposit 3D/UV Delivery note, 1 page (German) (3/4/94) – Opposition ref. 5b
	C7	De La Rue Systems 3120 Currency Sorting Machines with Pattern Recognition, 2 pages (© 1987)
	C8	De La Rue Systems US Dollar Sorter (3110 MK II) International Currency Sorting Machine, 2 pages (© 1987)

EXAMINER:

DATE CONSIDERED:

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP § 609. DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

Form PTO-1449 (modified)	Atty. Docket No. 47171-00405USPT	Serial No. 10/664217-Conf. #3356
List of Patents and Publications for Applicant's 3RD INFORMATION DISCLOSURE STATEMENT	Applicant Stanley P. Sakowski	
Page 2 of 2	Filing Date: September 17, 2003	Group: 3653

Other Documents (Including Author, Title, Date, Etc.)

Exam. Init.	Ref. Des.	Citation
	C9	De La Rue Systems 3100 Operator Instructions, 52 pages (October, 1986)
	C10	De La Rue Systems Limited, Use of the Diagnostics Function 3110 Mk.II/3120 Machines (Engineers Use Only) TML07/075, 10 pages (October, 1986)
	C11	Leistungsangebot; 2 pages (German) and translation thereof, 5 pages

EXAMINER:

DATE CONSIDERED:

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP § 609. DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.



PTO/SB/92 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Application No. (if known): 10/664217

Attorney Docket No.: 47171-00405USPT

Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

on 11-10-04
Date

Gloria O'Bannon
Signature

Gloria O'Bannon

Typed or printed name of person signing Certificate

Registration Number, if applicable

(312) 425-3900

Telephone Number

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Information Disclosure Statement
17 references cited
PTO 1449 forms (2 pgs.)
Return receipt post card